



# ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of  
Invention

SYSTEM FOR PROVIDING CARDLESS PAYMENT

Application Number: 09/956997



Confirmation Number: 2940

First Named Applicant: William Mann

Attorney Docket Number: 47004.000172

Art Unit: 2161

Search string: ( 3920908 or 4123747 or 4386266 or 4700055  
or 4707592 or 5287403 or 5220501 or 5265033  
or 5311594 or 5420926 or 5475740 or 5479494  
or 5487107 or 5513250 or 5627355 or 5677955  
or 5699528 or 5706442 or 5742035 or 5745555  
or 5754655 or 5757917 or 5779367 or 5790790  
or 5793028 or 5822737 or 5826241 or 5845285  
or 5883810 or 5893080 or 5903880 or 5915023  
or 5930767 or 5937396 or 5959699 or 5960411  
or 5963647 or 5963917 or 6000832 or 6029150  
or 60291511 or 6032133 or 6058382 or  
6070150 or 6085176 or 60851877 or 6092053  
or 6105006 or 6131115 or 6163771 or 6192407  
or 6202051 or 6219680 or 6223168 or 6246996  
or 6269348 or 6324538 or 6446049 or  
Re36788 ).pn.

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## US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
1/4	1	3920908	1975-11-18	Kraus			
	2	4123747	1978-10-31	Lancto			
	3	4386266	1983-05-31	Chasarek			
	4	4700055	1987-10-13	Kashkashian			
	5	4707592	1987-11-17	Ware			
	6	5287403	1994-02-15	Atkins			
	7	5220501	1993-06-15	Lawlor			

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	10	5420926	1995-05-30	Low
	11	5475740	1995-12-12	Biggs
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	14	5513250	1996-04-30	McAllister
	15	5627355	1997-05-06	Rahman
	16	5677955	1997-10-14	Doggett
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	19	5742035	1998-04-21	Kohut
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	21	5754655	1998-05-19	Hughes
	22	5757917	1998-05-26	Rose
	23	5779367	1998-07-07	Wesinger
	24	5790790	1998-08-04	Smith
	25	5793028	1998-08-11	Wagener
	26	5822737	1998-10-13	Ogram
	27	5826241	1998-10-20	Stein
	28	5845265	1998-12-01	Woolston
	29	5883810	1999-03-16	Franklin
	30	5893080	1999-04-06	McGurl
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<input checked="" type="checkbox"/>	59	Re36788	2000-07-25	Mansvelt

Signature

Examiner Name	Date
	2-15-06

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number 09/956,997  
Filing Date September 20, 2001  
First Named Inventor William MANN  
Art Unit 2161  
Examiner Name Unassigned  
Attorney Docket Number 47004.000172

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Sheet 1 5

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	FOREIGN PATENT DOCUMENT		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	TRANSLATION	
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## NON-PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	TRANSLATION	
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12A	1.	eCharge, eCharge Corporation, www.echarge.com, 12/03/99		
	2.	Tracy Pletz et al., Summary of the at your risk architecture, 06/03/99		
	3.	Siebel, Siebel: Ensuring Customer Success, www.siebel.com, 11/17/99		
	4.	OMG, Welcome to OMG's CORBA for Beginners Page!, www.omg.com, 05/25/99		

EXAMINER SIGNATURE

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PTO/SB/08A (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 1

## Complete If Known

Application Number	09/956,997
Filing Date	September 21, 2001
First Named Inventor	William MANN et al.
Group Art Unit	2161
Examiner Name	Unassigned
Attorney Docket Number	47004.000172

## U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
KA	U1	4,582,985		Löfberg	04-15-1988	
	U2	5,198,975		Baker et al.	03-30-1993	
	U3	5,424,938		Wagner et al.	06-13-1995	
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	U18	6,341,724		Campisano	01-29-2002	

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	P1		
	P2		

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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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(Rev. 8-83) Patent and Trademark Office

Atty. Docket No.:  
47004.000172

Serial No.:  
09/956,997

### INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)



Applicant:  
William MANN et al.

Filing Date:  
September 21, 2001

Group:  
2161

### U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)
LA	5,737,421	04/07/1998	Audebert			
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Document Number	Date	Country	Class	Subclass	Translation Yes No

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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Form PT-1449 U.S. Department of Commerce  
(Rev. 8-83) Patent and Trademark OfficeAtty. Docket No.:  
47004.000172Serial No.:  
09/956,997**INFORMATION DISCLOSURE STATEMENT**

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Applicant:  
William MANN et al.Filing Date:  
September 21, 2001Group:  
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Technology Center 2100**U.S. PATENT DOCUMENTS**

Examiner Initial*	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)

**FOREIGN PATENT DOCUMENTS**

	Document Number	Date	Country	Class	Subclass	Translation Yes No
LA	WO 00/67177	11/09/2000	PCT	G06F	17/60	

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


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Sheet 1 of 1

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		Number	Kind Code <sup>2</sup> (if known)			
L.A.	U1	3,998,266		Waterbury	07-22-1975	
	U2	3,938,091		Atalia et al.	02-10-1978	
	U3	4,482,985		Löfberg	04-15-1988	
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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
L.A.	P1	International Search Report PCT/US00/12633 MAILED 08-01-2000	
✓	P2	<www.echarge.com>, 1999 eCharge Corporation, Seattle, WA (Info@eCharge.com); publication date unknown, web site last updated on November 18, 1999	

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Form PTO-1449 U.S. Department of Commerce  
(Rev. 8-83) Patent and Trademark Office

Atty. Docket No.:  
47004.000172

Serial No.:  
09/956,9  
97

**INFORMATION DISCLOSURE STATEMENT**  
(Use several sheets if necessary)

Applicant:  
William MANN et al.

Filing Date:  
September 21, 2001

Group:  
2161

**U.S. PATENT DOCUMENTS**

Examiner Initial*	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)
LQ	5,534,855	07/09/1996	Shockley, et al.			

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	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
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	EP 0 917 119	5/19/1999					
✓	WO 01/35355	5/17/2001					

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LQ	EPO Search Report dated 9/23/2004 EP 00 93 0499
	EPO Search Report dated 9/22/2004 EP 02 77 5858

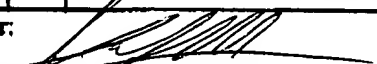
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Date Considered:

2-15-06

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Form PTO-1449 U.S. Department of Commerce (Rev. 8-83) Patent and Trademark Office				Atty. Docket No.: 47004.000172		Serial No.: 09/956,997	
<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				Applicant: William MANN et al.			
				Filing Date: September 21, 2001		Group: 2161	
PAGE 1 OF 3							
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial*		Document Number	Name	Date	Class	Subclass	Filing Date (if appropriate)
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		US6957770	Robinson	2005-10-25			
		US6950810	Lapsley	2005-09-27			
		US6944773	Abrahams	2005-09-13			
		US6938019	Uzo	2005-08-30			
		US6920435	Hoffman	2005-07-19			
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		US6836554	Bolle	2004-12-28			
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		Document Number	Date	Country	Class	Subclass	Translation Yes No
		0 590 861 A2	1994-6-4	EP			
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
US Patent 5,838,812 (Pare) Patent Family Listing (as of 12/2005) (4 pages)							
Examiner: 				Date Considered: 2-15-06			
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PAGE 2 OF 3

Applicant:  
William MANN et al.Filing Date:  
September 21, 2001Group:  
2161**U.S. PATENT DOCUMENTS**

Examiner Initial*	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)
KA	US20030105725A1	Hoffman	2003-08-05			
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**FOREIGN PATENT DOCUMENTS**

Document Number	Date	Country	Class	Subclass	Translation Yes No
S62-103796	1987-5-14	JP			X

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner:

Date Considered:

2-15-06

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<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				Applicant: William MANN et al.		
				Filing Date: September 21, 2001	Group: 2161	
PAGE 3 OF 3						
<b>U.S. PATENT DOCUMENTS</b>						
Examiner Initial*	Document Number	Name	Date	Class	Subclass	Filing Date (if appropriate)
K.A.	US5805719	Pare	1998-09-08			
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	US5737439	Lapsley	1998-04-07			
	US5613012	Hoffman	1997-03-18			
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	US6125185	Boesch	2000-9			
	US6073237	Ellison	2000-6			
	US5897621	Boesch	1999-4			
	US5870473	Boesch	1999-2			
	US6845906	Royer	2005-1			
	US6685088	Royer	2004-2			
	US5980411	Hanman	1989-9			
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>						
Microsoft changes course on smart cards and disposable card numbers, June 30, 2001, Electronic Payments International - Charles Davis (3 pages)						
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*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

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PAGE 6/41 \* RCVD AT 2/1/2006 8:27:22 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-6/25 \* DNS:2738300 \* CSID:\* \* DURATION (mm:ss):11:12



# Electronic Information Disclosure Statement

## System and method for providing cardless payment

Application:



09/956997

Confirmation: 2940

Applicant(s): William Mann III

Docket

Number:

47004.000172

Group Art

Unit:

Examiner:

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search string:

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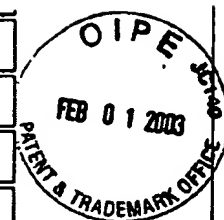
Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
1A	P01	5638457	1997-06-10		Deaton		
	P02	5675662	1997-10-07		Deaton		
	P03	5734838	1998-03-31		Robinson		
	P04	5784562	1998-07-21		Diener		
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	P06	5794259	1998-08-11		Kikinis		
	P07	5796395	1998-08-18		De Hond		

APP\_ID=09956997

Page 1 of 3

P08	5815657	1998-09-29		Williams
P09	5845260	1998-12-01		Nakano
P10	5892900	1999-04-06		Ginter
P11	5898780	1999-04-27		Liu
P12	5914472	1999-06-22		Foladare
P13	5915244	1999-06-22		Jack
P14	5918239	1999-06-29		Allen
P15	5933817	1999-08-03		Hucal
P16	5953710	1999-09-14		Fleming
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P19	5963952	1999-10-05		Kent
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P28	6049835	2000-04-11		Gagnon
P29	6055637	2000-04-25		Hudson
P30	6061665	2000-05-09		Bahreman
P31	6064987	2000-05-16		Walker
P32	6088700	2000-07-11		Larsen
P33	6098070	2000-08-01		Maxwell
P34	6112181	2000-08-29		Shear
P35	6131810	2000-10-17		Weiss
P36	6134549	2000-10-17		Regnier
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P38	6148293	2000-11-14		King



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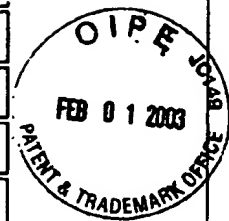
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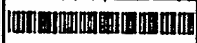






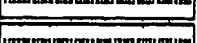
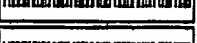



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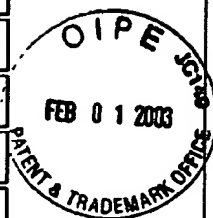
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	P12	5832476	1998-11-03		Tada
	P13	5835580	1998-11-10		Fraser
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	P16	5842211	1998-11-24		Horadan
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	P27	5889863	1999-03-30		Weber
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	P30	5926812	1999-07-20		Hilsenrath
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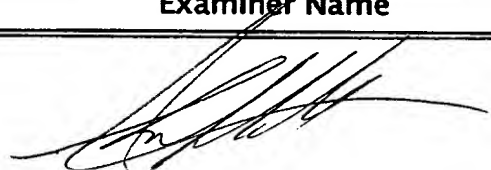


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✓	P44	4633397	1986-12-30		Macco
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✓	P46	4713761	1987-12-15		Sharpe
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### Signature

Examiner Name	Date
	2-15-06



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09/956997

Confirmation: 2940

Applicant(s): William Mann III

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Number: 47004.000172

Group Art

Unit:

Examiner:

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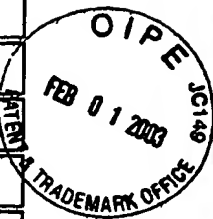
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### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
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	P02	4321672	1982-03-23		Thomson		
	P03	4567359	1986-01-28		Lockwood		
	P04	4725719	1988-02-16		Roach		
	P05	4823264	1989-04-18		Deming		
	P06	4882675	1989-11-21		Nichtberger		
✓	P07	4964043	1990-10-16		Galvin		

✓	P08	4992940	1991-02-12		Dworkin
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	P22	5532920	1996-07-05		Hartrick
	P23	5537314	1996-07-16		Kanter
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	P27	5568489	1996-10-22		Yien
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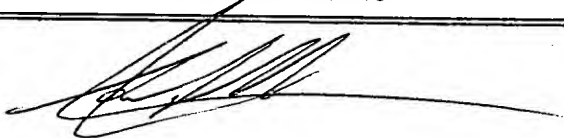


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	P47	5761661	1998-06-02		Coussenns
	P48	5761647	1998-06-02		Boushy
	P49	5774122	1998-06-30		Kojima
✓	P50	5778178	1998-07-07		Arunachalam

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Examiner Name	Date
	2-15-06



# Electronic Information Disclosure Statement

## System and method for providing cardless payment

Application:   
09/956997

Confirmation: 2940

Applicant(s): William Mann III

Docket Number: 47004.000172

Group Art Unit:



Examiner:

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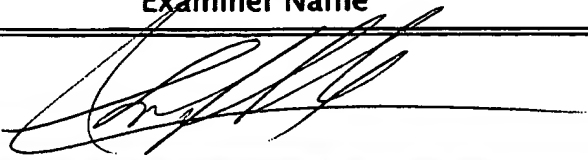
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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
KA	P01	5740231	1998-04-14		Cohn		
KA	P02	5450537	1995-09-12		Hirai		

### Signature

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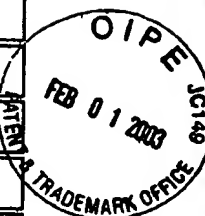
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init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
/A	P01	5790650	1998-08-04		Dunn		
	P02	5794221	1998-08-11		Egendorf		
	P03	5802498	1998-09-01		Comesanas		
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